Perform Evaluate Improve

PEI Genesis

Survey / Audit

2014

About PEI Genesis

The following standard survey has been created instead of completing the large number of individual Quality Surveys we receive from our customers. The use of this Standard Survey enables us to supply you with this detailed information in a timely and efficient manner.

PEI Genesis is the world leader in value added assembly of electromechanical devices specializing in electrical connectors and hardware. We offer value added services on many items with a cycle time running just over one (1) day, since the year 2003. We are able to offer this world class service because of our commitment to quality, continuous improvement, training, assembly automation, inventory levels, and our unwavering integrity and teamwork. All of these provide the employees of PEI Genesis the tools necessary to meet the demanding needs of our customers.

Our value added operations consist of assembly of those components supplied to us by our franchised suppliers. Our quality management system, currently certified to ISO9001:2008, details our quality system, our assembly inspections and specifications, record keeping, and packaging requirements to a variety of military specifications. PEI Genesis is routinely audited by many of our customers, including DSC. We welcome source inspection at PEI Genesis and currently accommodate some customers with frequent visits. Our quality processes conform to the following: MIL-I-45208, MIL-C-45662, MIL-STD-105 and MIL-STD-790. All calibrations are traceable to NIST.

All PEI Genesis Work Instructions are maintained on our intranet system. This enables each of our employees to have the most current revision of any item at any time.

As always, any customer is welcome to contact the PEI Genesis Value Added Distribution facility with any quality concerns they may have**.**

Kind Regards

Stacy Novotny
stacy.novotny@peigenesis.com
Quality Assurance Manager

Organization:

Steven Fisher	**Chairman**
Russ Dorwart	**President and COO**
Greg Warshaw	**CFO**
David Jones	**Director of Global Sales**
John Rozanski	**NA Sales Director**
Julie Trunk	**NA Inside Sales Manager**
Kirin Harner	**SB HR Manager**
Andrew Stump	**SB Site Manager**
Stacy Novotny	**SB Quality Manager**

CONTACT SHEET

Corporate Offices:
2180 Hornig Road
Philadelphia, PA 19116-4289
Phone: USA 800-523-0727
Fax: USA 215-552-8022

Value Added Facility South Bend, Indiana:
4747 W. Cleveland Rd
South Bend, IN 46628-1603
Phone: 574-287-2911
Fax: 574-282-3018

Sales Offices:

Location	*Phone*
Baltimore, MD	866.591.2871
Bohemia, NY	877-403-1113
Calgary, CA	877.484.8676
Chicago, IL	877.539.5364
Dallas, TX	800.780.8463
Detroit, MI	866.280.4734
Edina, MN	855-885-5601
Houston, TX	877.269.9400
Huntsville, AL	877-410-3684

Location	*Phone*
Indianapolis, IN	800.428.5081
Kirkland, WA	877-406-6250
Los Angeles, CA	800.692.2186
Orlando, FL	866.611.1089
Philadelphia, PA	866.734.9111
Phoenix, AZ	866.877.9524
Salem, NH	877.751.1168
San Jose, CA	866.306.3094
Toronto, CA	800.575.1500

Fact Sheet:

PEI Genesis is a:	Corporation – Large Business
	Electronic distributor in passive / electromechanical components with a specialty in connector assembly
Business started:	1946
Incorporated:	1949 State of Pennsylvania, USA
Taxpayer ID:	23-1327335
DUNS #:	131088056
Cage Code:	2B395 (Philadelphia); 2A589 (South Bend)
NAICS:	334417

SB Value Added Distribution Facility

Employees:	246
Management:	11
Production:	206
Quality:	19
FAE/Design:	8
Administration:	2
Union Affiliation:	None
Products Offered:	Please review our website www.peigenesis.com
Annual Sales:	$210,000,000
Facility:	174,000 sq. ft.

F.A.R. Information:

52.222-21 - Certification of non-segregated facilities

PEI Genesis certifies that it does not maintain or provide for its employees any segregated facilities and that it does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained.

52.222-35 - Certification affirmative action for special disabled and Vietnam era veterans

PEI Genesis agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972.

52.222-36 - Certification affirmative action for workers with disabilities

PEI Genesis agrees to comply with the rules, regulations, and relevant order of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 as amended and enabling FAR Clause.

52.209-5 - Certification regarding debarment, suspension, proposed debarment, and other responsibility matters

As further stated in FAR52.209-5 and 52.209-6, PEI Genesis certifies that, if awarded a contract exceeding $25,000, the supplier or associated Principals are NOT presently debarred, suspended, proposed for debarment, indicted for, or declared ineligible for the award of contracts by any federal agency. Furthermore, if the supplier should be declared ineligible as stated above, it will notify the buyer immediately regarding this change in status.

52.222-26 - Certification of Equal Opportunity

PEI Genesis certifies that they are in compliance with FAR 52.222-22 and FAR 52.222-26 and further represents that it is in compliance with Equal Opportunity (1984) and Executive Order 11246 and has filed Standard Form 100 within 12 months of current date.

52.222-25 - Certification of Affirmative Action

PEI Genesis represents that it has developed and has on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2)

52.203-11/12 - Certification and disclosure regarding payments to influence certain federal transactions

PEI Genesis certifies that, if awarded a contract exceeding $100,000 or more, NO federal appropriated funds have been paid or will be paid to influence certain government officials to award a federal contract or modify a federal contract as further stated in FAR 52.203-11

Quality Capabilities

PPAP

As a value added distributor, PEI Genesis is not the true manufacturer of the products a customer may receive. As this is the case with most everything we assemble and distribute, we do not have the capability to produce certain levels of PPAP.

If a customer requires a PPAP Level 3, 4 or 5 it will be necessary that we request this information from the true manufacturer of the product. It is very important to note that there may be a fee or charge associated with this request and that the manufacturer may not be willing to provide it based on proprietary concerns.

PEI Genesis does have the capability of performing PPAP Level 1 or 2. That is, a part submission warrant and some dimensional and visual measurements.

FIRST ARTICLES (F.A.I.R.)

First article inspection reports may be completed by PEI Genesis on a limited basis. As a value added distributor, there are some component specifications that are proprietary to the original equipment manufacturer, and we may not be authorized access to the component level drawings. In many cases, we are not permitted to forward copies of component drawings to our customers. However, PEI Genesis can complete a FAIR against a customer issue print, based on limited dimensions and tolerances. If a more detailed FAIR is required we will have to request this from the original manufacturer, and there may be a charge associated with the request. That is, limited dimensions and tolerances. If a more detailed FAIR is required, we will have to request the FAIR from the true manufacturer and there may be a charge associated with the request if they are willing to satisfy the request.

AS9102 First Articles

These **cannot** be completed by PEI Genesis and there is a charge from the true manufacturer for the completion of this requirement. If this is a requirement from a PEI Genesis customer it MUST be indicated on the purchase order and the customer must agree to pay all associated charges.

REACH

REACH regulation (EC) No 1907/2006 is legislation on chemicals and their safe use, and came into force in June 2007. REACH is aimed at improving the protection of human health and the environment through better and earlier identification of the properties of chemical substances as well as progressive substitution of the most dangerous chemicals when suitable alternatives have been identified.

Substances of Very High Concern (SVHCs)
Under REACH, the most hazardous substances on the market are gradually being added to a list known as the 'Candidate List', with the view of ensuring their use is correctly controlled, and that safer alternatives are found as soon as possible. The substances on this list are termed 'Substances of Very High Concern' (SVHCs). Cadmium was added to the Candidate List, and hence became a SVHC, on 6/20/2013.

Article 33 of REACH
Article 33 requires that any supplier of an article in the EU containing SVHCs above the threshold of 0.1% weight by weight must provide sufficient information to the customer to allow safe use of the article.

The relevance of SVHCs to PEI-Genesis' products
A number of products that PEI-Genesis supply are cadmium plated, and hence contain more than 0.1% by weight cadmium. PEI-Genesis is committed to helping our customers identify parts that contain cadmium and providing information to allow safe use of the substance.

RoHS - Hazardous Substances Compliance

EU Directive Requirement - The Directive requires that the homogeneous materials within new electrical and electronic equipment must contain less than 0.1% by weight of lead, mercury, hexavalent chromium, polybrominated biphenyls (PBB) or polybrominated diphenyl ethers (PBDE) and less than 0.01% Cadmium. A list of exemptions for the use of the above substances in specific items is given in Annexes III and IV of Directive 2011/65/EU. The Directive also allows some additional, broader exemptions, for example equipment intended for Military purposes.

Although PEI-Genesis is a distributor and most of our products are outside the scope of Directive 2011/65/EU, either because they are components, or as they are specifically manufactured for use in the Military or Aerospace sectors, PEI-Genesis is committed to helping our customers find information regarding RoHS to reduce or eliminate hazardous substances, using substitutes as and when they become available to the industry.

Conflict Minerals

In July 2010, the U.S. government signed the Dodd-Frank Wall Street Reform and Consumer Protection Act (the "Dodd-Frank Act"). Section 1502 of the Dodd-Frank Act requires all U.S. publicly traded companies to file disclosures and reports with the U.S. Securities and Exchange Commission ("SEC") related to the use of "Conflict Minerals" in their products. The regulation was adopted by the SEC in August 2012. The first specialized disclosure report must be filed with the SEC no later than May 31, 2014, covering the calendar year 2013.

"Conflict Minerals" in this context refers to specific minerals originating from mines controlled by armed groups in the Democratic Republic of the Congo (DRC) or adjoining countries. The "Conflict Minerals" include Gold (Au), Tin (Sn), Tantalum (Ta), and Tungsten (W).

PEI-Genesis Inc. is committed to complying with this legislation and is taking steps to comply with the regulations. We are in the process of finalizing our supply chain review and collecting information with regard to the presence of Conflict Minerals in our product lines. To date, all PEI-Genesis suppliers who have responded to our survey and to the best of our knowledge, have confirmed that "Conflict Minerals" used in our products do not originate from conflict areas.

Source Inspection

PEI Genesis welcomes source inspection. In fact, we are regularly visited by many of our customers. All we ask is that the source inspection visit is scheduled to ensure that the products, testing equipment, and personnel are available. Please be sure that you tell your salesperson that you require Source Inspection when you place your Purchase Order. Due to our extremely rapid cycle time we must make special arrangements to prevent your order from shipping in advance of your visit.

Facility Audits

PEI Genesis welcomes customer audits of our South Bend facility. Please contact the PEI Genesis Quality Manager with your request and dates will be scheduled.

PEI Genesis currently performs internal audits at regular frequencies. These audits are in addition to the surveillance and recertification audits performed by our third party registrar, Perry Johnson. We will be happy to share the results of these audits upon your arrival at PEI Genesis.

FREQUENTLY ASKED QUESTIONS

FAQ	YES	NO	N/A	COMMENTS
Is there a documented Quality Policy that defines the organization and its goals?	Y			On our website www.peigenesis.com
Are the Quality Policy documents available to all?	Y			
Has a person been assigned responsibility for managing the quality system?	Y			Stacy Novotny
Does this person have authority to ensure effective conduct of the quality system?	Y			
Are there job descriptions that clearly define authority and responsibility of all personnel?	Y			
Are internal and external audits conducted on a regular basis?	Y			
Is there a documented management review of all final inspection/ test procedures to ensure adequacy and contract compliance?	Y			
Are there a sufficient number of trained people assigned to inspection and test activities?	Y			
Do inspection and test personnel have a reporting structure that allow them to properly perform their assigned task?	Y			
Is there a current quality manual available?	Y			
Is the manual reviewed and approved by senior management?	Y			
Does the quality manual reference quality system procedures that provide specific work instructions and define responsibilities?	Y			
Is the quality manual available to all personnel?	Y			
Is there a document providing for the identification, and acquisition of any controls, processes, equipment, fixtures, resources and skills that may be needed to achieve the required quality?	Y			
Is there a document providing the standards for acceptability for all features and requirements?	Y			
Is there a procedure that identifies the review of incoming contracts and/or purchase orders to verify that all requirements are adequately defined and documented?	Y			
Is there a method for resolving conflict between contract or accepted order requirements?	Y			
Is analysis performed to ensure capability and capacity exist to meet order requirements?	Y			
Is there a documented procedure defining how a contract is amended or modified and it's terms transferred to each department and/or applicable party?	Y			
Are records of contract review maintained for a specific period of time?	Y			
Is there a documented procedure for the control of all documents and data relating to the product?	Y			
Is there a procedure for obtaining and maintaining external documents such as standards and drawings?	Y			
Are there controls to ensure that all invalid documents, drawings etc. are removed from all points of use, or otherwise precluded from unintended use?	Y			

Are there documented procedures ensuring that product purchased conforms to specified requirements?	Y			
Do purchasing documents contain data clearly describing the product ordered?	Y			
Is there a documented procedure for the control of verification, storage, and maintenance of customer supplied product that is provided for incorporation into the supplies or for related activities?	Y			
Is there a procedure for recording and reporting to the customer when any customer supplied product is lost, damaged, or is found to be otherwise unsuitable for use?	Y			
Have procedures been established for identifying the product by suitable means from receipt and during all stages of production, delivery, and installation?	Y			
Has there been an identification of, and plan for, the production, installation, and servicing processes that directly affect quality?	Y			
Do process control procedures ensure the use of suitable production, installation, and servicing equipment, and a suitable work environment?	Y			
Do procedures call for monitoring and control of suitable process parameters and product characteristics?	Y			
Do procedures stipulate suitable maintenance of equipment to ensure continuing capability?	Y			
Are there documented procedures for inspection and test activities?	Y			
Is product released to production without inspection in cases of urgent need?		N		Not permitted
Is product held at in process inspection test points until it has been inspected and /or tested and accepted?	Y			
Are records of inspection and testing maintained?	Y			10 years minimum
Is inspection, measurement, and test equipment used in a manner that ensures measurement uncertainty is known and is consistent with measurement capability?	Y			
Are test software and inspection tooling rechecked at prescribed intervals to ensure acceptability?	Y			
Has all inspection, measuring, and test equipment that can affect product quality been identified and are those items calibrated and adjusted at prescribed intervals or prior to each use?	Y			
Is each item of test equipment, used for acceptance, identified by a label, suitable indicator, or approved identification record to show the calibration status?	Y			
When inspection, measurement, and test equipment is found to be out of calibration are there procedures for notifying the customer if previously shipped product has been evaluated using that equipment?	Y			
Is the inspection and test status of product identified by suitable means, that indicate the conformance or the non-conformance of product with regard to inspections and test performed?	Y			
Are there procedures to ensure that product that does not conform to specified requirements is prevented from unintended use or installation?	Y			

Do the procedures for control of nonconforming product provide for identification, documentation, evaluation, segregation, and disposition of nonconforming product?	Y			
Is all reworked product reinspected per a customer specification or quality plan?	Y			
Is there a documented procedure of implementing corrective and preventive actions?	Y			
Do corrective action procedures include the effective handling of product non-conformance?	Y			
Do corrective action procedures address both the short term and long term?	Y			
Is there a procedure for the verification of corrective and preventive actions?	Y			
Do preventive action procedures outline the steps needed to deal with any problems requiring preventative action?	Y			
Is there a documented requirement for the submission of reports of corrective and preventative action to management for review?	Y			
Have methods of handling product been developed that prevent damage and / or deterioration?	Y			
Are there designated storage areas to prevent damage of product pending use or delivery?	Y			
Are appropriate methods of preservation and segregation of product applied?	Y			
Is the quality of the product protected after final inspection and packaging?	Y			
Are there documented procedures for the identification, collections, indexing, access, filing, maintenance, and disposition of quality records?	Y			Master List for Record Retention exists
Are quality records legible and stored in an area that prevents deterioration?	Y			
Are the personnel conducting the audits trained in auditing techniques and procedures?	Y			
Are the results of internal audits brought to the attention of personnel having responsibility for the area?	Y			
Has the need for statistical techniques been established and implemented?	Y			
Is customer satisfaction monitored and considered when evaluating the processes of the facility?	Y			
Is continuous improvement monitored including the effectiveness of training?	Y			
Is there a documented procedure for identifying training needs and providing for training of all personnel?	Y			

PERRY JOHNSON REGISTRARS, INC.

Certificate of Registration

Perry Johnson Registrars, Inc., has audited the Quality Management System of:

PEI-Genesis, Inc.
2180 Hornig Road, Philadelphia, PA 19116 United States
(This is a campus scheme. See Appendix for site specific details.)

(Hereinafter called the Organization) and hereby declares that Organization is in conformance with:

ISO 9001:2008 and AS9100C

This Registration is in respect to the following scope:

Value Added Assembly, Design and Distribution of Connectors and Assemblies

(The assessment was performed in accordance with AS9104/1:2012-01. PJR is accredited under the ICOP scheme)

This Registration is granted subject to the system rules governing the Registration referred to above, and the Organization hereby covenants with the Assessment body duty to observe and comply with the said rules.

PERRY JOHNSON REGISTRARS, INC.

ANAB ACCREDITED

Terry Boboige, President

Perry Johnson Registrars, Inc. (PJR)
755 West Big Beaver Road, Suite 1340
Troy, Michigan 48084
(248) 358-3388

The validity of this certificate is dependent upon ongoing surveillance.

Effective Date: May 31, 2014
Expiration Date: May 30, 2017

Certificate No.: C2014-01319

PERRY JOHNSON REGISTRARS, INC.

Appendix

Central Function:	
Controlling Address: *2180 Hornig Road,* *Philadelphia, PA 19116 United States*	*Sales, Contract Review, Design, and Purchasing*
4747 Cleveland Road, *South Bend, IN 46628 United States*	*Design, Value Added Assembly, and Distribution*

Terry Boboige, President

Perry Johnson Registrars, Inc. (PJR)
755 West Big Beaver Road, Suite 1340
Troy, Michigan 48084
(248) 358-3388